

APD Licensing Disciplinary Chart

<u>Violation</u>	<u>First Violation</u> <u>Discipline may consist of one or more of the following penalties:</u>	<u>Additional Violations</u> <u>Discipline may consist of one or more of the following penalties:</u>
<u>65G-2.002(1), Unlicensed activity</u>	• <u>Denial of license application</u>	• <u>Denial of license application,</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>
<u>65G-2.002(3), (4)(a), (5), (8) Initial License Application</u>	• <u>Denial of license application</u>	N/A
<u>65G-2.002(3), (4)(a), (5), (8)-(11) Renewal Applications of License</u>	• <u>Denial of license application,</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>	• <u>Denial of license application,</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>
<u>65G-2.0021 Change of Ownership</u>	• <u>Denial of license application,</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>	• <u>Denial of license application,</u> • <u>Disciplinary action up to revocation of license,</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>
<u>65G-2.003(4) and (5) Length of License</u>	• <u>Denial or revocation</u>	N/A
<u>65G-2.0032(4), (5), (6), (8), (9) Agency Monitoring and Oversight</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>

65G-2.004(d) Failure to submit a requested corrective action plan within 30 days	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
65G-2.004 Failure to timely comply with a notice of noncompliance or corrective action plan	• <u>Fine up to \$1,000</u>	• <u>Fine of no less than \$500, and up to \$1,000, per day</u>
65G-2.0041(1)(c) Failure to timely complete a Plan of Remediation	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
65G-2.006(1)(a) Licensed Capacity	• <u>Moratorium up to 3 months</u> • <u>Fine of no less than \$500, and up to \$1,000</u>	• <u>Disciplinary action up to revocation</u> • <u>Moratorium up to 12 months</u> • <u>Fine of up to \$1,000, per day</u>
65G-2.006(1)(d) Residential facility capacity change request must be received by Regional Office 30 days prior to change	• <u>Fine up to \$1,000</u>	• <u>Fine of no less than \$500, and up to \$1,000, per day</u>
65G-2.006(2) – failure to notify the Agency regarding census changes, failure to provide or update census accordingly	• <u>Denial of license application</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine of no less than \$500, and up to \$1,000, per day</u>	• <u>Denial of license application</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
65G-2.006(3)(a) Needs and Characteristics of facility residents	• <u>Moratorium up to 3 months</u> • <u>Fine of no less than \$500, and up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
65G-2.006(3)(c) licensee must request change in characteristics of those served 30 days prior to change	• <u>Fine up to \$1,000</u>	• <u>Fine of no less than \$500, and up to \$1,000</u>

<u>65G-2.007(1)(a) - (c)</u> <u>Facility name and identification.</u>	• <u>Fine up to \$1,000</u>	• <u>Fine of no less than \$500, and up to \$1,000, per day</u>
<u>65G-2.007(2)(a) Lease requirements.</u>	• <u>Application denial</u> • <u>Disciplinary action up to revocation of license</u> • <u>Moratorium for the during of the violation</u> • <u>Fine up to \$1,000, per day</u>	• <u>Application denial</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(3)(a) - (h)</u> <u>General physical plant requirements</u>	• <u>Application denial</u> • <u>Disciplinary action up to revocation of license</u> • <u>Moratorium for the during of the violation</u> • <u>Fine up to 1,000</u>	• <u>Application denial</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(4)(a) – (d)</u> <u>Common room and dining area requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(5)(a) – (i)</u> <u>Kitchen requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(6)(a) – (m)</u> <u>Bedrooms</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(7)(a) – (i)</u> <u>Bathroom requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(8)(a) and (b)</u> <u>Water Temperature</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(9)(a) – (c)</u> <u>Laundry</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.007(10) (a) through (e) Heating and Cooling</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(11) Lighting</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(12)(a) – (j) Housekeeping and Maintenance</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(13)(a) – (i) Meal Services</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(14)(a) – (m) Food Storage</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(15) (a) – (b) Firearms and Weapons</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(16)(a) – (e) Hazardous and toxic compounds</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(17)(a) – (h) Swimming pools and other bodies of water.</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(18)(a) – (c) Smoking</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(19) Alarms</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.007(20) Smoke and carbon monoxide detectors</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(21)(a) – (d) Insect, Rodent, and Vermin Control</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(22) (a) – (d) Animal Health and Safety</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(23)(a) – (d) Response to Resident Sickness</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(24)(a) and (b) Foreclosures, Evictions and Bankruptcies</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(25) Mobile homes or manufactured homes</u>	• <u>Licensure Denial</u>	• <u>Licensure Denial</u>
<u>65G-2.007(26) License shall not charge for or its contracted trainer or presenter charge for training family members, guardians of residents.</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.007(27) A licensee or applicant shall not make willful or intentional misstatements</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0071(1) Foster care facility— facility shall be located in an area zoned for residential use</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.0071(2) Foster care facility—designation of person responsible for its operation</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.0071(3) Foster Care--additional staffing requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.0072(1), (2), (3) designation of group home operator & qualifications</u>	• <u>Denial of application or renewal</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Denial of application or renewal</u> • <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0072(4) Group Home-- Designation of area office</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.0073(1) Residential Habilitation Center--Organization and Administration</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.0073(2) Residential Habilitation Center-Resident Training</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(1) Adult Day Training - Right to use and occupy</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(a) Adult Day Training- physical site standards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(b) Adult Day Training - occupied dwelling, storage units, or garage may not be used for ADT services</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(c) Adult Day Training – Must be free of dangerous conditions and hazards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.0074(2)(d) Adult Day Training—ventilation</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(e) Adult Day Training – maximum occupancy documentation</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(f), (g) Adult Day Training – accessibility and barriers</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(h) Adult Day Training – backup equipment or power supply</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(i) Adult Day Training—sanitation</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(j), (k) Adult Day Training—locks, exterior doors</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(2)(l) Adult Day Training – designated drop off areas</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(3) Adult Day Training – Activity area and dining area</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(4) Adult Day Training - Food preparation, storage, and service</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(5) Adult Day Training - Bathrooms and Changing Areas</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.0074(6) Adult Day Training - Water Temperature</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(7) Adult Day Training - Heating and cooling</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(8) Adult Day Training – Lighting</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(9) Adult Day Training - Housekeeping and Maintenance</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(10) Adult Day Training - Firearms and weapons</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(11) Adult Day Training – Hazardous and toxic compounds</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(12) Adult Day Training - Swimming pools and other bodies of water</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(13) Adult Day Training – Smoking</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(14), (15) Adult Day Training – Alarms, smoke, and carbon monoxide detectors</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(16) Adult Day Training - Insect, Rodent, and Vermin Control</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.0074(17) Adult Day Training – Animal Health and Safety</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(18) Adult Day Training - Response to Participant Sickness</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(19) Adult Day Training - Foreclosures, Evictions and Bankruptcies</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(20) Adult Day Training - Optional in-service training</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(21) Adult Day Training - Emergency Management Plans</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(22) Adult Day Training - Program standards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(a)-(f), (h) Transportation log</u>	• <u>Fine of up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(g), (g)1.</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(i) Transportation-Number of passengers not to exceed designed seating capacity</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(j) Transportation - Direct care training, duties, and staff ratio</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.0074(23)(k) Transportation-Participants must be in seatbelts</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(l) Transportation-staff training</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(m) Transportation-Vehicle specs, inspection</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(n) Transportation-no smoking/vaping</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(23)(o) Transportation-maintained at a temperature between 65 to 78 degrees, ventilated.</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(24) Adult Day Training – Administration</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(25) Adult Day Training - Financial Standards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(26) Adult Day Training - Staffing requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(27) Adult Day Training - Required Skills for All Direct Service Providers</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(28) Adult Day Training - Safety Standards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.0074(29) Adult Day Training - Behavioral Interventions and Responses to Behavioral Issues</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(30) Adult Day Training – Investigations</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(31) Adult Day Training - Medication and Specialized Equipment</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(32) Adult Day Training - Requirements for Personnel Policies, Procedures, and Records</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(33) Adult Day Training - Direct Service Provider Training Requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.0074(34) Adult Day Training – Sexual Activity</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(1) Adequate Staffing</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(2) Direct Service Provider Qualifications</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(3) Required Skills for all Direct Service Providers</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.008(4) Safety Standards</u>	• <u>Fine up to \$1,000</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(5) Investigations</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(6)(a) and (b) Requirements for Personnel Policies, Procedures, and Records</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.008(7)(a) – (g) Staff Training Requirements</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(1)(a) Resident Supervision</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(1)(b) Client Bill of Rights</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(1)(d) Facilities shall establish, maintain, and make available to resident and employee of house rules and regulations</u>	• <u>Fine up to \$1,000, per day.</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(1)(e) Facility establishment of resident government</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(1)(f) Facility shall take appropriate care after resident's medical, dental, therapy, or other health care related appointments</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.009(2) Educational services for exceptional children</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(3) Facilities shall provide opportunities for residents to participate in community activities</u>	• <u>Fine up to \$1,000, per day.</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(4)(a) – (j) Transfer and Placement of Residents</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(a) Resident Funds-misuse of client funds by licensee and staff</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(b) Resident Funds-policies and procedures and staff training</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(c) Resident Funds- Written Authorization from resident or guardian for management of client funds</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(d) – (h) Resident Funds-maintain records and accounting</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(i) Resident Funds-All client records shall be made available upon request</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(5)(j), (k), (l) management of client funds</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(6)(a) - (d), (g) Client Records— Establishment and maintenance</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.009(6)(e) Client Records—confidentiality</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(6)(f) Client Records—accessible to Agency or designated agent of the State of Florida</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(7)(a), (b) Resident Supervision</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(8)(a), (b), (c), (e), (f) and (i) Video Monitoring</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(9)(a) Behavioral Interventions and Responses—maintain written policies and procedures</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(9)(b), (c) Behavioral Interventions and Responses—Direct service provider training</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(9)(d) Behavioral Interventions and Responses—use of forbidden responses or interventions</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(10)(a) Sexual Activity—enforce written policy</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(10)(b) Sexual Activity—Training of direct care providers</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.009(10)(c). Sexual Activity—Safeguards to be implemented by facility servicing sexually aggressive residents</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(11) Solicitation activities— must have authorization of clients or guardians for use of client’s likeness, voice, name, personal information</u>	• <u>Fine up to \$1,000, per day.</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(12)(a) – (c) First Aid</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(13)(a) – (g) Medication</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(14)(a) – (c) Specialized Equipment</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(a) Transportation-Facility provision of transportation</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(b) and (c) Transportation-vehicle log and inspection</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(d)(e)(f)(g)(h) Transportation - Direct care training, duties, seatbelts, and staff ratio</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(i) Transportation-Vehicle specs, inspection</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>

<u>65G-2.009(15)(k)</u> <u>Transportation-maintained</u> <u>at a temperature between</u> <u>65 to 78 degrees,</u> <u>ventilated.</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(l)</u> <u>Transportation-provided</u> <u>at no cost when under 25</u> <u>miles</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(n)</u> <u>Transportation-Number of</u> <u>resident passengers not to</u> <u>exceed number of chairs</u> <u>in vehicle.</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(o)</u> <u>Encourage residents to</u> <u>use public transportation.</u>	• <u>Fine up to \$750</u>	• <u>Fine up to \$1,000</u>
<u>65G-2.009(15)(g)(p)</u> <u>Transportation-</u> <u>Supervision of residents,</u> <u>residents in seatbelts</u>	• <u>Disciplinary action up to</u> <u>moratorium, suspension, or</u> <u>revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to</u> <u>moratorium, suspension, or</u> <u>revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.009(15)(q)</u> <u>Transportation—</u> <u>Notification prior to</u> <u>facility staff taking out of</u> <u>the state overnight</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day, but</u> <u>in no event shall the aggregate</u> <u>exceed \$5,000</u>
<u>65G-2.009(16)(a), (b)</u> <u>Communication Among</u> <u>Staff</u>	• <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(1) Emergency</u> <u>Standards</u>	• <u>Fine up to \$1,000, per day</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(2) Fire Safety</u> <u>Standards</u>	• <u>Denial of license application</u> <u>and/or revocation</u>	• <u>Denial of license application</u> <u>and/or revocation</u>
<u>65G-2.010(3) Emergency</u> <u>Preparedness Drills</u>	• <u>Disciplinary action up to</u> <u>moratorium, suspension, or</u> <u>revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to</u> <u>moratorium, suspension, or</u> <u>revocation of license</u> • <u>Fine up to \$1,000, per day</u>

<u>65G-2.010(4) Emergency Management plans</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(5) Missing residents</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(6)(a) Incident reporting—contacting the Florida Abuse Hotline per sections 39.201 and 415.1034, Florida Statutes</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(6)(b), (e), (g), and (h) Incident reporting—submission of incident reports to the Agency</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(6)(c) Incident reporting—notification of resident’s legal representative, support coordinator, or DCF caseworker</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(6)(d) Incident reporting—provider action to be taken to resolve the emergency</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.010(6)(f) Incident reporting—Critical Incidents</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>
<u>65G-2.015(1) – (7) Siting</u>	• <u>Denial of the license application</u> • <u>Fine up to \$1,000</u>	• <u>Fine up to \$1,000, per day</u>
<u>65G-2.018 Personal Needs Allowance (1) – (3)</u>	• <u>Fine up to \$1,000, per day.</u>	• <u>Disciplinary action up to moratorium, suspension, or revocation of license</u> • <u>Fine up to \$1,000, per day</u>